

On the Expanding Zoo of Lattice Assumptions

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Cryptographers need computational assumptions

Cryptography is like a religion.

Minimum faith required:

symmetric-key crypto One-way functions (OWF)

public-key crypto OWF over algebraic structure, e.g. RSA, discrete logarithm (DLOG), SIS, LWE

(Relatively) unstructured assumptions
e.g. RSA, DLOG, SIS, LWE



Basic cryptographic primitives
e.g. encryption, signatures, etc.

Structured and/or hinted assumptions
e.g. Strong RSA, One-More DLOG,
Vanishing SIS, Evasive LWE



Advanced properties
e.g. succinctness, quasi-linear time, etc.

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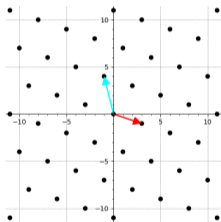


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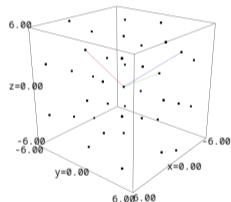
(Euclidean) Lattices

For basis $\mathbf{B} \in \mathbb{R}^{n \times k}$ with $k \leq n$, the lattice spanned by \mathbf{B} is

$$\mathcal{L}(\mathbf{B}) := \{ \mathbf{Bz} : \mathbf{z} \in \mathbb{Z}^k \} \subseteq \mathbb{R}^n$$



An $n = 2$ dimensional example



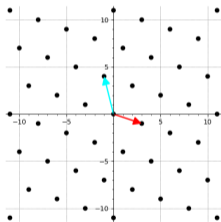
An $n = 3$ dimensional example

General believe: Arithmetic problems = easy, Geometric problems = hard

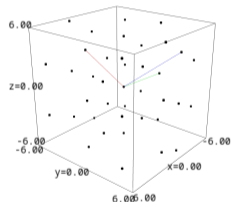
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Lattice-based cryptography

Lattice-based crypto = crypto based on hardness of lattice problems

Why lattice-based crypto?

- † Conjectured **post-quantum** security
- † Security (of most constructions) based on hardness of **worst-case** lattice problems
i.e. there exist **worst-case to average-case reductions** between hard problems
- † Enabling unique functionalities, e.g. fully homomorphic encryption

Goal of this talk

- † Overview of old and new lattice-based assumptions
- † Highlight gaps from foundational perspective

Basics: Successive minima

Successive minima $\lambda_1(\mathcal{L}), \dots, \lambda_n(\mathcal{L})$

$\lambda_i(\mathcal{L})$ = Radius of smallest n -dim ball containing i linearly independent lattice vectors.

Worst-case problems: SIVP, GapSVP

SIVP $_{\gamma}$: Shortest Independent Vector Problem

Given $\mathcal{L} \subseteq \mathbb{R}^n$, find linearly independent $\{\mathbf{z}_1, \dots, \mathbf{z}_n\} \subseteq \mathcal{L}$ such that $\max_i \|\mathbf{z}_i\| \leq \gamma \cdot \lambda_n(\mathcal{L})$.

GapSVP $_{\gamma}$: Decision Shortest Vector Problem

Given lattice $\mathcal{L} \subseteq \mathbb{R}^n$ and a real $d > 0$, decide whether $\lambda_1(\mathcal{L}) \leq d$ or $\lambda_1(\mathcal{L}) > \gamma \cdot d$.

The function $\gamma = \gamma(n)$ is the **approximation factor**. It plays a significant role in hardness.

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Worst-case problems: Sliding scale of approximation factors

Known hardness results for GapSVP_γ :

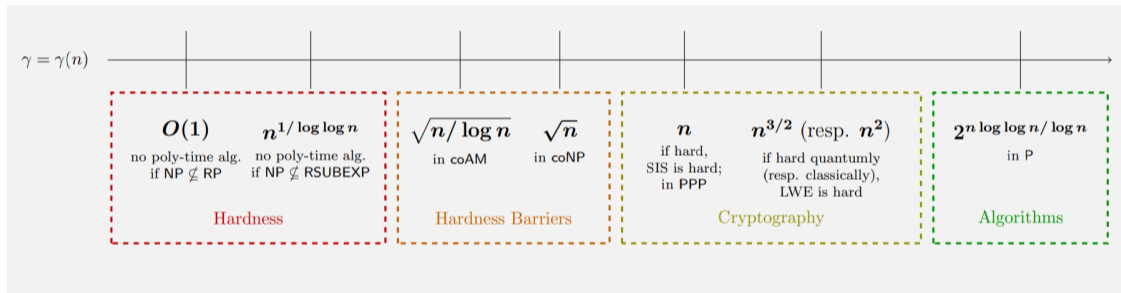


Figure from "The Complexity of the Shortest Vector Problem" by Huck Bennett, 2023.

Average-case problems: SIS, LWE

Let $n \leq m \leq \text{poly}(n)$, $\beta \leq q \leq 2^{O(n)}$.

SIS_{n,m,q,β}: Short Integer Solution [Ajtai96]

Given uniformly random $\mathbf{A} \leftarrow \mathbb{Z}_q^{n \times m}$, find $\mathbf{x} \in \mathbb{Z}^m$ with $\mathbf{Ax} = \mathbf{0} \pmod q$ and $0 < \|\mathbf{x}\| \leq \beta$.

LWE_{n,m,q,χ}: Learning with Errors [Regev05]

Given uniformly random $\mathbf{A} \leftarrow \mathbb{Z}_q^{n \times m}$ and sample $\mathbf{b} \in \mathbb{Z}_q^m$, decide whether \mathbf{b} is uniformly random or $\mathbf{b}^\top \approx \mathbf{s}^\top \mathbf{A} \pmod q$ for uniformly random $\mathbf{s} \leftarrow \mathbb{Z}_q^n$.

† Without norm constraint or noise \implies linear algebra

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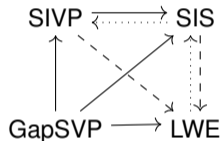
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Reductions

Hardness of SIS and LWE are relatively well understood.



† $A \rightarrow B$: Classical reduction from A to B (Dotted = Trivial)

† $A \dashrightarrow B$: Quantum reduction from A to B

Structured and/or hinted SIS and LWE

Recall: Stronger assumptions \implies Fancier functionalities (generally)

How to make stronger variants of SIS and LWE, i.e. add adjectives?

† Additional structure, e.g.:

‡ matrices and vectors over number rings \mathcal{R} instead of \mathbb{Z}

‡ structured matrix \mathbf{A} , e.g. Vandermonde

† Give hints, e.g. for given \mathbf{y} , short vector \mathbf{x} such that $\mathbf{Ax} = \mathbf{y} \bmod q$ and $\|\mathbf{x}\| \leq \beta$, denoted

$$\mathbf{x} \leftarrow_{\$} \mathbf{A}_{\beta}^{-1}(\mathbf{y})$$

We say “ \mathbf{x} is a preimage of \mathbf{y} w.r.t. \mathbf{A} ”.

What to research about these assumptions?

† Applications to cryptographic constructions

† **Cryptanalysis**, i.e. find algorithms

† **Reductions**

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Ring/module SIS and LWE – “Structure from the inside”

Typical setting: Let $\mathcal{R} = \mathbb{Z}[\zeta]$ where $\zeta \in \mathbb{C}$ is a root of unity.

SIS $_{\mathcal{R},n,m,q,\beta}$: Ring/Module Short Integer Solution [Peikert-Rosen06, Lyubashevsky-Micciancio06]

Given uniformly random $\mathbf{A} \leftarrow \mathcal{R}_q^{n \times m}$, find $\mathbf{x} \in \mathcal{R}^m$ with $\mathbf{Ax} = \mathbf{0} \pmod q$ and $0 < \|\mathbf{x}\| \leq \beta$.

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† If $\mathcal{R} = \mathbb{Z} \implies$ Standard SIS and LWE

† $n = 1$: “ring” setting

† $n > 1$: “module” setting

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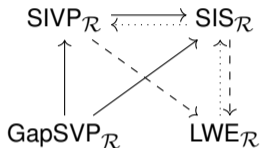
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Reductions over rings and modules

Most existing reductions over \mathbb{Z} generalise to ring/module settings.



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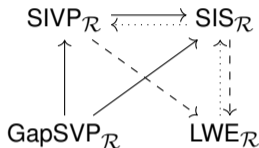
Issues:

† Classical reduction from $\text{GapSVP}_{\mathcal{R}}$ to $\text{LWE}_{\mathcal{R}}$ missing (literature: restricted parameters, omitted)

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Polynomials and rational functions – “Structure from the outside”

Vanishing SIS [Cini-L-Malavolta23]

SIS but matrix \mathbf{A} consists of rational functions evaluations at random points, e.g. Vandermonde

$$\mathbf{A} = \begin{pmatrix} 1 & a_1 & \dots & a_1^{m-1} \\ \vdots & \vdots & \ddots & \vdots \\ 1 & a_n & \dots & a_n^{m-1} \end{pmatrix}.$$

In other words, given random points a_1, \dots, a_n , find degree- m polynomial with short coefficients which vanish at these points.

Current hardness status:

- † Worst-to-average reduction for constant degree polynomials [Preprint, L-Jykinen]
- † (Speculation) Worst-to-average reduction for constant individual-degree polynomials

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SIS and LWE with hints

Some (oversimplified) examples:

Evasive LWE [Wee22]

If LWE w.r.t. matrix $(\mathbf{A} \parallel \mathbf{P})$ is hard, then LWE w.r.t. matrix \mathbf{A} given $\mathbf{A}_{\beta}^{-1}(\mathbf{P})$ as hints is hard.

One-More Inhomogeneous SIS (OM-ISIS) [Agrawal-Kirshanova-Stehlé-Yadav22]

Given $\mathbf{A} \leftarrow_{\$} \mathbb{Z}_q^{n \times m}$, k -time oracle access to $\mathbf{A}_{\beta}^{-1}(\cdot)$, find $\mathbf{A}_{O(\beta)}^{-1}(\mathbf{y}_i)$ for random $\mathbf{y}_1, \dots, \mathbf{y}_{k+1}$.

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New source of hardness?

k -Hint Inhomogeneous SIS (kHISIS, i.e. selective OM-ISIS) [Preprint, Albrecht-L-Postlethwaite]

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Current hardness status:

Assuming sub-exponential-secure OWF, as hard as SIS in $2^{O(m)}$ time and $m^{O(1)}$ memory
[Preprint, Albrecht-L-Postlethwaite]

† Current best attack against SIS takes either

- ‡ enumeration: $2^{O(m \log m)}$ time and $m^{O(1)}$ memory, or
- ‡ sieving: $2^{O(m)}$ time and $2^{O(m)}$ memory, or
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† Reduction from $\$k$ HSIS to kHISIS

$\$k$ HSIS: Given $\mathbf{A} \leftarrow \mathbb{Z}_q^{n \times m}$ and $\mathbf{x}_1, \dots, \mathbf{x}_k \leftarrow \mathbf{A}_{\beta}^{-1}(\mathbf{0})$, output highly entropic sample of $\mathbf{A}_{O(\beta)}^{-1}(\mathbf{0})$.

† Run $\$k$ HSIS algorithm $2^{O(m)}$ times to produce a list of $2^{O(m)}$ samples of $\mathbf{A}_{O(\beta)}^{-1}(\mathbf{0})$.

† Argue existence of close pairs in list, close = $\|\mathbf{u} - \mathbf{v}\| < \beta$.

† Take differences of close pairs to get improved hints.

† Caution: Need to generate lists pseudorandomly, otherwise need $2^{O(m)}$ memory.

† Feed improved hints back to the $\$k$ HSIS algorithm. Repeat.

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$\$k$ HSIS: Given $\mathbf{A} \leftarrow_{\$} \mathbb{Z}_q^{n \times m}$ and $\mathbf{x}_1, \dots, \mathbf{x}_k \leftarrow_{\$} \mathbf{A}_{\beta}^{-1}(\mathbf{0})$, output highly entropic sample of $\mathbf{A}_{O(\beta)}^{-1}(\mathbf{0})$.

† Run $\$k$ HSIS algorithm $2^{O(m)}$ times to produce a list of $2^{O(m)}$ samples of $\mathbf{A}_{O(\beta)}^{-1}(\mathbf{0})$.

† Argue existence of close pairs in list, close = $\|\mathbf{u} - \mathbf{v}\| < \beta$.

† Take differences of close pairs to get improved hints.

† Caution: Need to generate lists pseudorandomly, otherwise need $2^{O(m)}$ memory.

† Feed improved hints back to the $\$k$ HSIS algorithm. Repeat.

Reduction Template

k -Hint Inhomogeneous SIS (kHISIS, i.e. selective OM-ISIS) [Preprint, Albrecht-L-Postlethwaite]

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Summary

- † How hard are structured and hinted variants of SIS and LWE?
- † Attacks? (Even sub-exponential attacks are interesting)
- † Reductions from standard SIS and LWE?
- † Worst-case to average-case reductions?
- † More foundational work needed!

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Thank You!