

# Certifying Automated Reasoning

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## Automated reasoning

Significant progress in last couple of decades on combinatorial solvers

- Boolean satisfiability (SAT) & modulo theories (SMT), solving and optimization [Biere, Heule, van Maaren, and Walsh, 2021]
- Constraint programming [Rossi, van Beek, and Walsh, 2006]
- Pseudo-boolean (0-1 integer linear programming) [Elffers and Nordström, 2020].

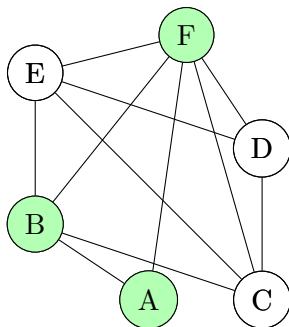
scheduling  
learning DAGs  
kidney matching  
cancer treatment  
allocation of education  
hardware and software verification  
bounded model checking  
allocation of work  
air traffic control  
healthcare logistics

# Example problem

## Maximum Clique

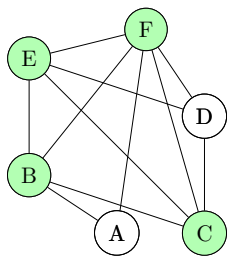
Decision problem: Is there clique of size 3? **yes**

Optimization problem: What is the size of the largest clique?



# Automated Reasoning

for solving maximum clique



Maximize:  $x_A + x_B + x_C + x_D + x_E + x_F$

subject to:

$$(1 - x_A) + (1 - x_C) \geq 1$$

$$(1 - x_D) + (1 - x_B) \geq 1$$

$\vdots$

$$x_j \in \{0, 1\} \quad \forall j$$

$$(1 - x) \equiv \neg x$$

Problem instance

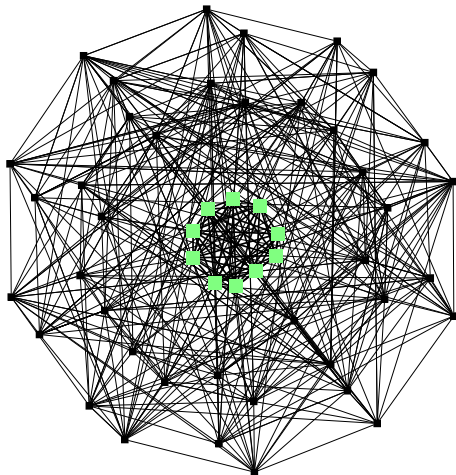
Constraint encoding

Solution to problem

Solution to constraints  
Green=1, Red=0

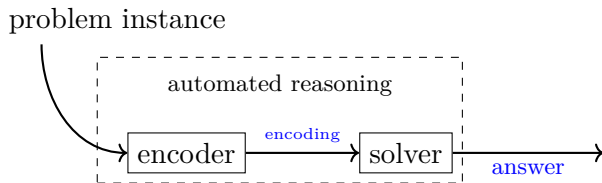
# The main question of the day

Can we trust the answer?



# Automated reasoning

in general



3 main approaches toward trustworthiness:

testing

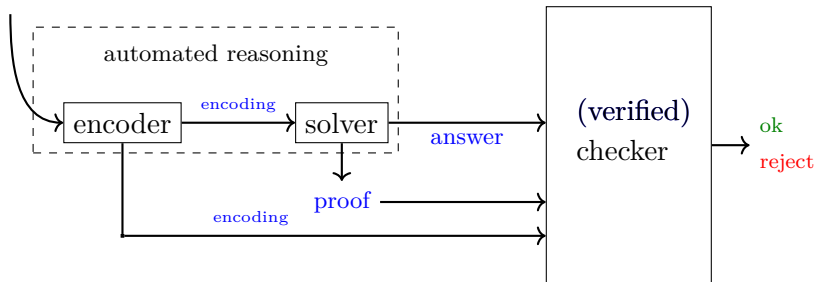
formal verification

proof logging

# Proof Logging

[Järvisalo, Heule, and Biere, 2012; Wetzler, Heule, and Jr., 2014; Heule, 2021; van Doornmalen, Eifler, Gleixner, and Hojny, 2023; Bogaerts, Gocht, McCreesh, and Nordström, 2022]

problem instance



## Desiderata of proof format

- powerful
- simple

# Redundance-based proofs



# Concrete Constraints

Propositional Logic, SAT, MaxSAT

- Instance:
  - ▶ Set of clauses, (CNF formula)
  - ▶ a linear objective function cost
- Find assignment  $\tau$  that:
  - ▶ satisfies all clauses and
  - ▶ minimizes cost

$$\begin{aligned}\tau(y) &= \tau(b_1) = \tau(b_3) = 1 \\ \tau(x) &= \tau(b_2) = 0\end{aligned}$$

$$F = \{(b_1 \vee x), (\neg x \vee b_2), \\ (b_2 \vee y), (\neg y, b_3)\}$$

$$\text{cost} \equiv 2b_1 + 4b_2 + b_3$$

$$\text{cost}(\tau) = 3$$

# Clause Redundancy

[Järvisalo, Heule, and Biere, 2012; Heule, Kiesl, and Biere, 2020; Ihalainen, Berg, and Järvisalo, 2022]

## Definition

Clause  $C$  is redundant for formula  $F$  and objective cost if

$$\text{minimum-cost}(F) = \text{minimum-cost}(F \wedge C)$$

(wrt. cost)

equisatisfiability a special case

## Example:

$$(x \vee b_1) \wedge (\neg x \vee b_2)$$

$$\text{cost} = b_1 + 2b_2$$

$(\neg b_2)$  is redundant

$(\neg b_1)$  is **not** redundant

# Characterizing redundancy

[Heule, Kiesl, and Biere, 2020; Ihalainen, Berg, and Järvisalo, 2022]

## (informal) Theorem

$C$  redundant for  $F$  and cost iff there exists a set of literals  $L_C$  that fixes any solution  $\tau$  of  $F$  that falsifies  $C$  without increasing its cost.

## Example

$$F = (x \vee b_1) \wedge (\neg x \vee b_2 \vee b_1) \wedge F' \leftarrow$$

$F'$  does not  
contain  $b_1, b_2, \neg b_1$  or  $\neg b_2$

$$\text{cost} = b_1 + 2b_2$$

$C = (\neg b_2)$  is redundant

$$L_C = \{\neg b_2, b_1\}$$

If  $\tau$  satisfies  $F$  but falsifies  $C$   
then assigning  $b_1 = 1, b_2 = 0$  and  
the rest according to  $\tau$   
satisfies  $F \wedge C$  with cost less than  $\tau$ .

Note: adding redundant clauses might change the set of solutions

## A (very simplified) redundancy-based proof

e.g. [Heule, Kiesl, and Biere, 2020; Bogaerts, Gocht, McCreesh, and Nordström, 2022]

A proof for  $F = \{C_1, \dots, C_n\}$  and cost is a sequence:

$$C_1, C_2, \dots, C_n, C_{n+1}, \dots, [] \text{ =empty clause}$$

s.t. each  $C_{n+t}$  is either:

- redundant wrt.  $C_1 \wedge \dots \wedge C_{n+t-1}$ , or
- $\text{cost} < \text{cost}(\tau)$  for a solution  $\tau$  of  $C_1 \wedge \dots \wedge C_{n+t-1}$ .

The proof establishes:

- optimality if  $C_{n+t} = \text{cost} < \text{cost}(\tau)$  for some  $t$
- infeasibility of constraints, otherwise

redundancy-based proof systems are strong  
need to be careful with deletion

# Redundancy for simulating solver reasoning

## Subsumed Literal Elimination

[Berg, Saikko, and Järvisalo, 2016; Korhonen, Berg, Saikko, and Järvisalo, 2017]

Assume:

- i)  $b_2$  appears at least in the same clauses as  $b_1$ .
- ii) the coefficient of  $b_2$  in cost is at most the coefficient of  $b_1$ .

Then fix  $b_2 = 0$  and simplify.

Reasoning

$$\text{cost} = b_1 + 2b_2$$

$$(x \vee b_1) \wedge (\neg x \vee b_2 \vee b_1)$$

↓

$$(x \vee b_1) \wedge (\neg x \vee b_1)$$

↓

$$(b_1)$$

↓

$$\emptyset$$

Redundancy

$$(x \vee b_1) \wedge (\neg x \vee b_2 \vee b_1)$$

↓

$$\text{add } (\neg b_2)$$

↓

$$\text{add } (b_1)$$

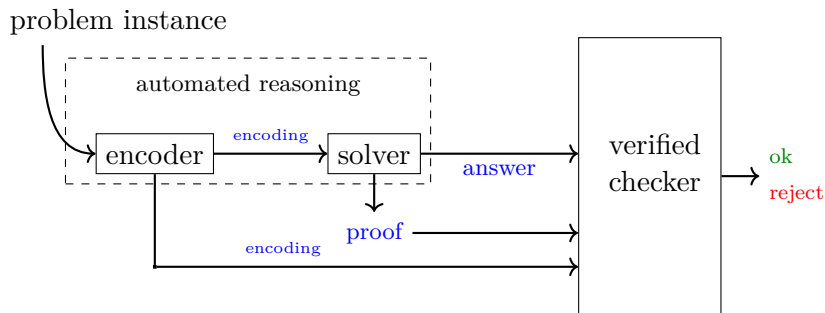
↓

$$\text{remove } (\neg x \vee b_1 \vee b_2)$$

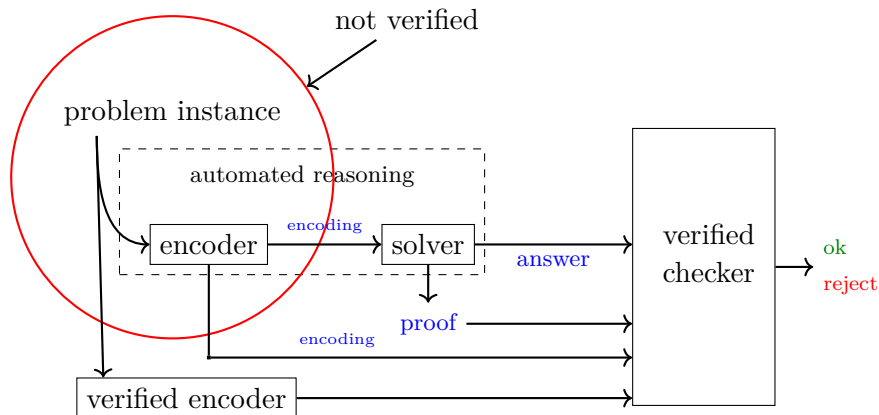
↓

What do we need to trust?

# Recap: Certified Automated Reasoning



## What about the encoding?



- problem-specific verified encoder can prove the right properties of the encoding



## What are these right properties?

[Gocht, McCreesh, Myreen, Nordström, Oertel, and Tan, 2024; Ihalainen, Oertel, Tan, Berg, Järvisalo, Myreen, and Nordström, 2024]

$$\begin{aligned} \text{is\_clique } vs (v, e) &\stackrel{\text{def}}{=} \\ &vs \subseteq \{ 0, 1, \dots, v-1 \} \wedge \\ &\forall x y. x \in vs \wedge y \in vs \wedge x \neq y \Rightarrow \text{is\_edge } e x y \\ \text{max\_clique\_size } g &\stackrel{\text{def}}{=} \max_{\text{set}} \{ \text{card } vs \mid \text{is\_clique } vs g \} \end{aligned}$$

## What are we trusting now?

- e.g. HOL model of verified checkers and correspondence to real system
- HOL4 theorem prover, including logic, implementation, and execution environment [Slind and Norrish, 2008]

# Proof logging in the Constraint Reasoning and Optimization Group

## Earlier

- Fundamentals of redundancy notions in boolean decision problems (SAT) [Järvisalo, Heule, and Biere, 2012]

## Currently

- Fundamentals of redundancy notions in boolean optimization (MaxSAT) [Berg and Järvisalo, 2019; Ihalainen, Berg, and Järvisalo, 2022]
- Certifying solvers and preprocessors [Ihalainen, Oertel, Tan, Berg, Järvisalo, Myreen, and Nordström, 2024; Berg, Bogaerts, Nordström, Oertel, and Vandesande, 2023]
- Multiobjective optimization [Jabs, Berg, Ihalainen, and Järvisalo, 2023]

# Conclusion

Proof logging in automated reasoning:

- Guarantees correctness of results
- Supports development of increasingly complex reasoning into solvers.
- Provides audibility to third parties without access to the solver.

## Open Challenges

- Practical scaling.
- Proof logging e.g. PSPACE-complete problems.
- Proving bounds on the proof systems used.

I am hiring someone to work on these kinds of topics!

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